



Privacy Policy

InvestAstute Pty Ltd

ABN: 69 089 623 352

Australian Financial Services Licence 235362

Level 13, 80 Mount Street North Sydney NSW 2060

Purpose.

- a. The regulations that govern our activities in the provision of financial services prescribe that certain information must be provided to you.
- b. This Financial Services Guide (FSG) contains important information about:
 - i. Who is providing the services to you;
 - ii. The services we offer you;
 - iii. How we are paid;
 - iv. Associations and potential conflicts of interest we may have;
 - v. Our internal and external dispute resolution procedures and how you can access them and
 - vi. Professional indemnity insurance.

Who Is Providing The Services To You?

- c. InvestAstute Pty Ltd (“InvestAstute”) is responsible for the advice provided to you. InvestAstute holds its own Australian Financial Services Licence (AFSL) issued by the Australian Securities and Investments Commission (ASIC). InvestAstute has held its AFSL since November 1999.
- d. The advice will be provided by one of the directors of InvestAstute, Nick Nedachin or Yianni Simmons.

This Privacy Policy applies to the services offered by InvestAstute Pty Ltd. (“InvestAstute”)

1. The over-riding principle.

- a. InvestAstute is committed to safeguarding personal privacy. It recognizes that individuals have a right to control how their personal information is collected and used. Providing personal information is an act of trust and it is taken seriously. Unless given consent to do otherwise, InvestAstute will only collect and use personal information as set out below.

2. Clients.

- a. For purposes of this document a “client” is a person, or persons or entities such as trusts and companies (and the trustees of those trusts and directors of those companies) to whom InvestAstute provides investment and financial advice and related services which is documented in writing and authorised by the client.

3. Our obligations.

- a. InvestAstute is required to comply with the Australian Privacy Principles (APPs) in the Privacy Act 1988 (Cth) (Privacy Act). The APPs regulate the manner in which personal information is handled from collection/receipt to use and disclosure, storage, accessibility and disposal.

4. Personal information.

- a. Personal information means information about an individual person e.g. an individual's name, address, contact number and email address.
- b. InvestAstute will not collect or monitor any personal information about an individual without their consent. The only personal information collected is what has been provided voluntarily.
- c. In addition to what is defined as personal information in the Privacy Act, InvestAstute treats all client information as strictly confidential.
- d. In the provision of our investment and financial services to our clients we are obliged to collect certain information about a client's financial situation so that we can provide appropriate personal financial advice in the client's particular circumstances.
- e. A client can, of course elect not to provide such information in which case the advice we provide to that person will only be general in nature.
- f. No personal or other information about a client of InvestAstute will be disclosed to a third party without the express authority of the client.

5. Security

- a. InvestAstute takes reasonable steps to protect all personal and other client information by ensuring as much as possible that such information is not accessible by unauthorised persons.
- b. All client information is kept secure in locked files and in password-protected computer systems.

6. Use of tracking technologies.

- a. InvestAstute uses tracking technologies such as cookies or web beacons to make use of the website and services as convenient as possible. Cookies are pieces of information that a web site transfers to a computer's hard disk for record keeping purposes. Most web browsers are set to accept tracking technologies such as cookies or web beacons.
- b. These tracking technologies do not themselves personally identify users, although they do identify a user's browser.

7. Contact by InvestAstute.

- a. InvestAstute may contact individuals using the information, which was provided by them in order to:
 - i. Provide information that may be of interest about investment and financial information, new InvestAstute services, special offers and other matters that may be of interest.
 - ii. To send newsletters.

8. InvestAstute and links to other web sites.

- a. InvestAstute provides links to web sites outside of InvestAstute's site. These linked sites are not under the control of InvestAstute, and InvestAstute is not responsible for the conduct of companies linked to the InvestAstute web site, nor for the performance or otherwise of any content and/or software contained in such external websites.

9. Queries and individual's right of access to information.

- a. Individuals have the right to review the information that may be recorded by InvestAstute.
- b. Information may be reviewed or queries answered about our Privacy Policy by contacting the Managing Director of InvestAstute on 02 9929 5125 or at advice@InvestAstute.com.au or at P.O. Box 474 North Sydney N.S.W. 2059.

This statement of Privacy Policy is a public document and is accessible from InvestAstute's website.

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